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14. ABSTRACT In this final report, I summarize the major accomplishments achieved during my three-year award period. Initial experiments focused on purification of endogenous BRCA1 complexes; however, the most fruitful work has occurred in characterizing the function of such BRCA1 complexes. I describe here distinct mechanisms for transcriptional stimulation and repression. These activities recapitulate the in vivo transcriptional functions of BRCA1.					
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Intro

Over the course of the three-year award period, I have studied the function of BRCA1 complexes in a fully purified transcription system, and I have described distinct mechanisms for transcriptional stimulation and repression. These activities recapitulate the *in vivo* transcriptional functions of BRCA1, and indicate that transcriptional regulation by BRCA1 may be central to its role as a tumor suppressor.

Body

Task 1: To purify and characterize BRCA1 complexes from cultured mammalian cell lines.

- A) Subcloning to assemble a retroviral zz-TEV fusion vector.
- B) Infection and selection of stable cell lines
- C) Purification by chromatography and affinity steps.
- D) Identification of protein subunits by mass spectrometry and comparison between breast and non-breast cell line.

Several stable cell lines were previously established (as reported in year 1). Purification of endogenous complexes was attempted with mixed success. The interaction between BRCA1/BARD1 and the basal transcription machinery was further explored in Task 3.

Task 2: To describe the dynamics of BRCA1 complex formation and redistribution.

- A) Purify BRCA1 complexes from cells synchronized at different points in the cell cycle or following DNA damage.
- B) Compare distribution of complexes by Native Blue PAGE.

See Task 1.

Task 3: To test the function of purified BRCA1 complexes by *in vitro* assays.

Since the last report, I have continued characterization of the BRCA1/BARD1 interaction with RNA Polymerase II, and we have made great progress in this area. As reported previously, BRCA1/BARD1 ubiquitinate the large subunit of RNA Polymerase II (Rpb1), and we developed a fully-purified transcription/ubiquitination assay to ask whether the enzymatic activity of RNA Polymerase II was regulated by this modification. This assay demonstrates that ubiquitination of the pre-initiation-complex (PIC) by BRCA1/BARD1 induces dissociation of TFIIE, leading to a failure of initiation. In parallel to this work, we conducted gene expression profiling experiments, and demonstrated that acute shRNA knockdown of BRCA1 induces the expression of a number of gene targets, supporting a repressor role for BRCA1. As reported previously, BRCA1 also can stimulate transcription, independent of its E3 ubiquitin ligase activity. By stabilizing properly initiated PICs, BRCA1 promotes productive transcriptional initiation. In the cell, these opposing activities are likely regulated by interaction with the E2 enzyme, and targeted by transcription factors that participate in combinatorial regulation of BRCA1.

Key Research Accomplishments

- Established cell lines for affinity purification of BRCA1 complexes (completed).
- Characterized the *in vitro* ubiquitination of Pol II by BRCA1/BARD1; confirmed the results *in vivo* (completed).
- Developed an *in vitro* system to assay the transcriptional activity of BRCA1. Described distinct mechanisms for transcriptional repression and stimulation by BRCA1 (completed)

Reportable Outcomes

- 1) Stable cell lines expressing affinity-tagged BRCA1, Pol II components.
- 2) Starita LM.*, **Horwitz AA.***, Keogh MC., Ishioka C., Parvin JD., and Chiba N. BRCA1/BARD1 ubiquitinate phosphorylated RNA polymerase II. J Biol Chem 280(26): 24498-505 (2005). *equal contribution
- 3) **Horwitz, A.A.**, Sankaran, S, Parvin JD. (2006) Direct stimulation of transcription initiation by BRCA1 requires both its amino and carboxy termini. J Biol Chem 281(13) 8317-8320 (2006).
- 4) **Horwitz AA.**, Affar, EB., Heine, GF., Shi, Y., Parvin, JD. A mechanism for transcriptional repression dependent on the BRCA1 E3 ubiquitin ligase. Proc Natl Acad Sci USA. 104(16): 6614-9 (2007).

Conclusions

The studies described here expand our understanding of how BRCA1 functions as a tumor suppressor. The primary area of investigation in the past year focused on BRCA1 complex function *in vitro*, in particular as it relates to the interaction between BRCA1/BARD1 and the Pol II complex. We previously confirmed that Pol II was a substrate for BRCA1/BARD1 *in vitro* and *in vivo* (.pdf attached). First, using a fully purified *in vitro* system, we showed that ubiquitination of RNA Polymerase II by BRCA1/BARD1 represses transcription initiation through dissociation of TFIIE/TFIIH (.pdf attached). Second, we have shown that BRCA1 also activates transcription, independent of its E3 ligase activity, and working at the initiation stage. The purified system reveals that BRCA1 regulates localization of the pre-initiation complex, stabilizing properly initiated complexes (.pdf attached). Thus, BRCA1 is a dual function transcriptional regulator that regulates the assembly the pre-initiation complex.

To examine BRCA1 activity in the cell, we used gene-profiling techniques to compare the transcriptomes of cells expressing wild type BRCA1 to those acutely depleted of BRCA1 (by shRNA). These studies demonstrated that BRCA1 suppresses transcription of a significant number of targets (in addition to its known activation targets), revealing a previously under-appreciated role in transcriptional repression.

Regulation of Pol II by BRCA1/BARD1 could effect tumor suppression in two ways. First, in the acute response to DNA damage, the repressive action of BRCA1/BARD1 may contribute to the global, transient repression of transcription. Second, by regulation of specific gene targets, BRCA1 may control a tumor-suppressive transcription program.

In closing, I would like to express my gratitude to the reviewers and administrators of the CDMRP for my graduate fellowship. I had an excellent graduate training experience, and owe this in part to the generous funding I received from the U.S. Army.

A mechanism for transcriptional repression dependent on the BRCA1 E3 ubiquitin ligase

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Notes:

A mechanism for transcriptional repression dependent on the BRCA1 E3 ubiquitin ligase

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Loss of function of the tumor suppressor protein BRCA1 is responsible for a high percentage of familial and also sporadic breast cancers. Early work identified a stimulatory transcriptional coactivator function for the BRCA1 protein, and more recently, BRCA1 has been implicated in transcriptional repression, although few examples of repressed genes have been characterized. We recently used an *in vitro* transcription assay to identify a biochemical mechanism that explained the BRCA1 stimulatory activity. In this study, we identified an ubiquitin-dependent mechanism by which BRCA1 inhibits transcription. BRCA1 ubiquitinates the transcriptional preinitiation complex, preventing stable association of TFIIE and TFIIH, and thus blocks the initiation of mRNA synthesis. What is striking about this mechanism of regulation by BRCA1 is that the ubiquitination of the preinitiation complex is not targeting proteins for degradation by the proteasome, nor are ubiquitin receptors modifying the activity, but rather the ubiquitin moiety itself interferes with the assembly of basal transcription factors at the promoter. Using RNAi to knockdown expression of the endogenous BRCA1 protein, we assessed the level of repression dependent on BRCA1 in the cell, and we found that BRCA1 is at least as significant a transcriptional repressor as it is an activator. These results define a biochemical mechanism by which the BRCA1 enzymatic activity regulates a key cellular process.

RNA polymerase II | TFIIE | transcription

BRCA1 is the breast and ovarian cancer specific tumor suppressor (1). Loss of BRCA1 can occur either by mutation of both alleles of the gene in the tumor cell ($\approx 4\%$ of all breast cancer cases) or by epigenetic down-regulation of the gene by methylation of its promoter ($\approx 14\%$ of sporadic breast cancer cases and up to 30% of ovarian cancer cases) (2, 3).

How BRCA1 protein exerts its tumor suppressor function remains unresolved, but it has been found to regulate a number of processes including transcription, repair of DNA damage, cell cycle checkpoints, and centrosome dynamics (4–6). The biochemical mechanism(s) by which BRCA1 regulates these diverse processes is unknown. The BRCA1 protein has the enzymatic activity of an E3 ubiquitin ligase when bound as a heterodimer to BARD1 (7, 8), and it is likely that the ubiquitin ligase activity is critical for BRCA1/BARD1 regulation of transcription and other processes. In this paper, the BRCA1/BARD1 heterodimer will be simply referred to as “BRCA1.”

Previously, we found that BRCA1 strongly stimulated transcription by stabilizing the preinitiation complex (PIC) on the core promoter (9). This activity was observed in either the presence or absence of BARD1 and was independent of ubiquitination function. Our results suggested that BRCA1 enhanced the stability of the PIC on promoter elements relative to bulk DNA (9). Because BRCA1 can ubiquitinate phosphorylated RNA polymerase II (RNAPII) both *in vitro* and *in vivo* (10, 11), we wondered whether the E3 ubiquitin ligase activity of BRCA1 might alter its stimulatory effect on transcription. We find in these experiments that the E3 ubiquitin ligase activity of BRCA1 strongly inhibits transcription by blocking PIC assembly.

Results

Ubiquitin-Dependent Repression of Transcription. We tested the effects of BRCA1 E3 ubiquitin ligase activity in transcription reactions containing purified transcription and ubiquitination factors [TATA binding factor (TBP), TFIIB, RNAPII, TFIIF, TFIIE, TFIIH, E1, and E2 (UbcH5c)]. In the absence of the BRCA1/BARD1 heterodimer (BRCA1), the addition of ubiquitin had a negligible effect on RNA synthesis, and no ubiquitination of RNAPII was observed. However, when BRCA1 was included in the reaction, addition of ubiquitin repressed transcription nearly completely (Fig. 1*a* Upper, lanes 3 and 4). Transcriptional repression correlated with ubiquitination of the phosphorylated large subunit of RNAPII (Rpb1; Fig. 1*a* Lower, lanes 3 and 4). Importantly, BRCA1 exerts the repressive effect directly through its E3 activity. A mutant protein, BRCA1(I26A), which cannot bind the E2 enzyme (12), failed to ubiquitinate phospho-Rpb1 and did not repress transcription (lanes 5 and 6). These results link the enzymatic activity of BRCA1 to a previously unrecognized form of transcriptional repression.

The transcriptional repression depends on the inclusion in reactions of each ubiquitination factor. In otherwise complete reactions, we omitted one ubiquitination factor per reaction, and we found that repression of transcription required all of the factors [supporting information (SI) Fig. 6], and the level of repression correlated with BRCA1 concentration (SI Fig. 7).

Repression of Transcription Is Specific to BRCA1. The E3 ubiquitin ligase activity of BRCA1 exerted a strong repressive effect on RNA synthesis. Although TFIIH is another RING domain class of E3 ubiquitin ligase present in the transcription reaction (13), repression in this assay depends on the inclusion of BRCA1. To test further whether repression in this assay might be a general property of ubiquitin ligases, we assayed the E6AP E3 ubiquitin ligase for inhibition of transcription. E6AP is a HECT domain E3 that can use the same E2 enzyme (UbcH5c) *in vitro* as BRCA1 (14–16). Polyubiquitin chain formation assays confirmed that our preparation of E6AP was functional, and the activity observed in this nonspecific assay was similar to that of BRCA1 on a molar basis (data not shown). Unlike BRCA1, E6AP addition had no effect on transcription, even when added

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Abbreviations: RNAPII, RNA polymerase II; PIC, preinitiation complex; ML, major late.

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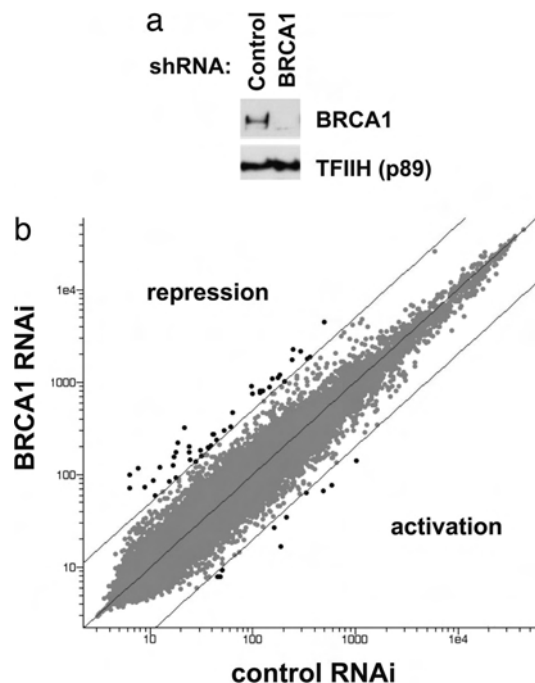


Fig. 4. RNAi knockdown of BRCA1 reveals a significant transcriptional repressor function. (a) HeLa cells were transfected with a plasmid expressing shRNA specific for GFP (control) or for BRCA1. Cells were harvested 72 h after transfection, and Western blots were probed with antibodies against BRCA1 (Upper) and the p89 subunit of TFIIF (loading control; Lower). (b) RNA isolated from the same cells (a) was used in microarray analysis. Genes with 5-fold or greater altered expression are highlighted in black. Those above the diagonal marking 5-fold effects were derepressed in the cells with knocked down BRCA1 expression.

ubiquitination in cells (data not shown), suggesting that phospho-RNAPII ubiquitination is the critical modification for the regulation of transcription by the BRCA1 E3 ubiquitin ligase.

Acute Silencing of BRCA1 Reveals a Large Number of Repressed Genes. The effects of BRCA1 on gene expression have largely been studied by overexpression of the BRCA1 protein in cells already expressing BRCA1 (for example, refs. 21 and 22). In these studies, exogenous expression of BRCA1 stimulated a large number of genes and repressed few genes. We found that after acutely silencing BRCA1 expression in HeLa cells using RNA interference, loss of BRCA1 resulted in higher expression of a large number of genes, indicating that BRCA1 repressed those targets (Fig. 4). Among the genes altered 2-fold or more, BRCA1 repressed ≈ 700 genes and stimulated ≈ 600 genes. Using a more stringent criterion of 5-fold effects, BRCA1 repressed 33 genes and stimulated eight. The effects of BRCA1 suppression on a number of these genes were confirmed by RT-PCR (SI Fig. 9). Although it is possible that many of the repressed genes were indirect targets of depletion of BRCA1, we suggest that the mechanism of ubiquitin-dependent repression of transcription identified in this study is an important component of the function of BRCA1 in the cell.

Discussion

In this study we found that BRCA1 represses transcription by preventing full assembly of the PIC (Fig. 5). BRCA1, in the absence of ubiquitin, binds to the PIC and even stimulates the level of transcription (9). Recruitment of the charged E2 to this promoter site would result in the ubiquitination of RNAPII, TFIIE, and also BRCA1. We have found that preubiquitinated BRCA1 protein does not disrupt the PIC (A.A.H., unpublished

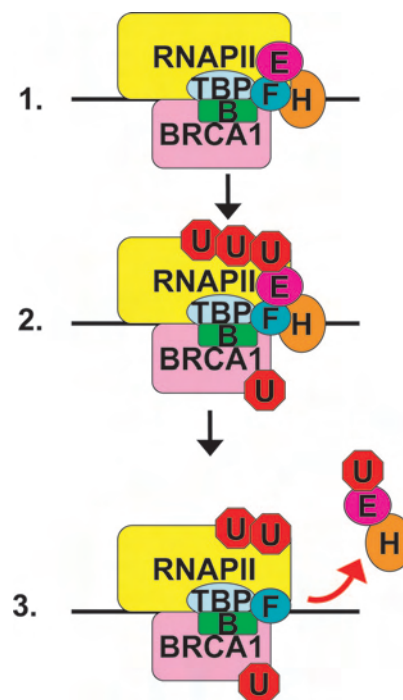


Fig. 5. Model diagram of BRCA1 repression of transcription: BRCA1 binds initiation competent PIC (1.), BRCA1 ubiquitinates itself, RNAPII, and TFIIE (2.), and TFIIE and TFIIF elute from the ubiquitinated PIC (3.).

observations). Rather, the ubiquitination of the PIC, probably via phospho-Rpb1, results in the destabilization of TFIIE and TFIIF in the complex and the concomitant inactivation of transcription. The ubiquitinated RNAPII could still function in transcription on synthetic templates such as the IgG promoter under conditions in which TFIIE and TFIIF are not required. This is a previously unrecognized mode of transcriptional repression, whereby ubiquitination sterically blocks protein-protein association. Ubiquitination has been shown to affect a target protein by inducing binding to the proteasome (23, 24) or to other ubiquitin receptors (25). For the reaction described in this study, regulation by ubiquitin requires neither of these pathways but instead regulates the assembly of a multiprotein complex. Repression of transcription was observed here in the absence of proteasome or other ubiquitin receptors.

Does this mechanism, detected *in vitro*, operate in the cell? We argue that the answer is yes. Ubiquitinated phospho-RNAPII, although strongly stimulated by DNA damage, has also been detected in the undamaged cell (10, 19), and the overexpression of BRCA1 raises the level of RNAPII ubiquitination independent of DNA damage (10). These observations are consistent with a small percentage of promoters being repressed by BRCA1 ubiquitination of RNAPII. Whether the ubiquitinated RNAPII in the cell is subsequently degraded, because the proteasome would be present in such a setting, is unknown. BRCA1 has also been found to ubiquitinate RNAPII at 3' processing sites of genes associated with the process of polyadenylation. In this latter case, the RNAPII is targeted for degradation (11). Clearly, BRCA1 interacts with the transcription apparatus, but it is uncertain whether the two identified mechanisms of BRCA1 regulation of transcription are mutually exclusive.

In combination with our previous study (9), these results demonstrate that BRCA1 regulates formation of the PIC, acting as a repressor or activator depending on the context. In the purified transcription assay, we controlled the switch between activation and repression by addition of the ubiquitination

factors. This may parallel the situation in the cell. Recent work indicates that the association of BRCA1 with its E2 enzyme is a regulated process (26), and we suggest that this could determine the activity of BRCA1 at a promoter. A second issue raised by our results is promoter specificity. In the *in vitro* system, protein concentrations are such that BRCA1 interacts with RNAPII directly. In the cell, however, we expect that protein partners of BRCA1 confer gene specificity. Sequence-specific factors, such as ZBRK1, c-Myc, and ER α , all recruit BRCA1 to genes for repression (27–33). In support of the concept that the promoter specificity of BRCA1 repression is due to specific DNA-binding factors, we located putative ZBRK1 binding sites in 19 of the 33 genes most repressed in our microarray study, but no identifiable ZBRK1 binding sites were observed in the genes stimulated by BRCA1 (data not shown). One function of BRCA1 in these repression complexes may be to recruit other repressors, such as CtIP (27), but the results shown herein using a defined transcription assay reveal that BRCA1 also has the capacity to directly regulate basal transcription factor function at the promoter.

How might the transcriptional repression of BRCA1 contribute to its function as a tissue-specific tumor suppressor? Several of the most significantly repressed targets identified in the initial microarray analysis are implicated in breast development or breast cancer (SI Fig. 9). Of particular interest are amphiregulin (AREG) and early growth response-1 (EGR-1). AREG is a ligand for the epidermal growth factor receptor (EGFR) that is essential for postnatal breast development (34) and overexpression of AREG has been noted in primary breast cancers (35). EGR-1 is a transcription factor with a variety of gene targets involved in angiogenesis, including EGFR (36, 37). Interestingly, high EGFR expression in breast cancers is correlated with loss of BRCA1 as well as poor clinical outcomes (38, 39). Based on the examples of repression of AREG and EGR-1 by BRCA1, we propose that transcriptional repression by BRCA1 may contribute to its tissue-specific tumor suppression.

Methods

Transcription Factors. The transcription factors used in these assays were purified by using established techniques (40–42).

Ubiquitination Factors. Full-length BRCA1/BARD1 were purified from baculovirus-infected insect cells as described (43). When indicated, the mutant BRCA1 containing isoleucine-26 to alanine substitution was purified by using the same methods as the wild type and was used in place of the wild-type protein. E1 and E2 (UbcH5c) were expressed in bacteria and purified (43). Bovine ubiquitin was purchased from Sigma (St. Louis, MO).

Plasmid Templates. G-less cassette templates were based on the p(C2AT)₁₉ vector (44) and have been described (45). The linearized IgG template was prepared by digestion with XmnI and subsequent gel purification. The immobilized ML template was prepared by excising the template from its plasmid with

HindIII/XmnI digest. The fragment was gel purified, and the 5' overhang produced by HindIII digestion was filled in with Biotin-14-dATP (Invitrogen, Carlsbad, CA) using the Klenow fragment of DNA Polymerase I. The excised DNA template was immobilized on streptavidin M-280 beads according to the manufacturer's directions (Dynal, Great Neck, NY).

Transcription/Ubiquitination Assay. Transcription assays were based on reactions described (9). Reactions contained 20 mM Hepes-NaOH (pH 7.9), 20% glycerol, 1 mM EDTA, 60 mM KCl, 0.1 mM each ATP and UTP, 0.05 mM 3'-O-methyl-GTP, 0.003 mM CTP, 1 mM DTT, 0.15 mg/ml BSA, 2 mM MgCl₂, 0.003 mM ZnSO₄, 1.2 mg/ml plasmid template (1 nM), 10 μ Ci (1 Ci = 37 GBq) of [α -³²P]CTP (800 Ci/mmol; PerkinElmer, Boston, MA), and transcription factors. Unless otherwise noted, the amounts of each factor used per 25- μ l reaction were as follows: 8 ng of yeast TATA box-binding protein (16 nM), 60 ng of TFIIB (60 nM), 100 ng of calf thymus RNAPII, 100 ng of TFIIF (40 nM), 4 ng of TFIIE (1.8 nM), and 0.5 μ l of TFIIF fraction. Ubiquitination factors were included in the reaction mixture or added to individual reactions before incubation: BRCA1 (9 nM; 50 ng of BRCA1 and 24 ng of BARD1), 6 \times His-E1 ubiquitin ligase (40 nM), 0.75 μ g of 6 \times His-UbcH5c (2 μ M), and 2 μ g of ubiquitin (12 μ M).

Reactions were assembled on ice and then incubated at 30°C for 90–120 min. Reactions were terminated by addition of 200 μ l of transcription stop mix (7 M urea, 0.5% SDS, 2 mM EDTA, 0.1 M LiCl, 0.35 M NH₄OAc), extracted in phenol/chloroform, precipitated in ethanol, and resolved on 6% polyacrylamide gels containing 8.3 M urea. Gels were dried and exposed to film with an intensifying screen. PhosphorImager analysis was performed by using a Molecular Dynamics (Sunnyvale, CA) PhosphorImager and ImageQuant software.

Preparation of cDNA for Microarray and TaqMan Analysis. HeLa cells were cotransfected (Lipofectamine; Invitrogen) with 5 μ g of shRNA expression plasmid (46) and 20 ng of pBabe-puro. BRCA1 shRNA (gccacaggacccaagaatgag) was targeted to the 3' untranslated region. The control shRNA was targeted against a mutant GFP construct (gggcatggcagctacggcaag). Puromycin selection (3 μ g/ml) was applied 24 h after transfection, and cells were harvested after 72 h. RNA was prepared with Tri Reagent (Molecular Research, Cincinnati, OH) and further purified over RNeasy columns (Qiagen, Valencia, CA). Microarray analysis was performed on separate samples at the Harvard Biopolymers Facility on the Affymetrix (Santa Clara, CA) HG-U133_{plus.2} chip. For TaqMan assays, 10 ng of cDNA template was used in standard reactions.

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Direct Stimulation of Transcription Initiation by BRCA1 Requires Both Its Amino and Carboxyl Termini*

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Published experiments suggest that BRCA1 interaction with RNAPII and regulation of a number of target genes may be central to its role as a tumor suppressor. Previous *in vivo* and *in vitro* work has implicated the carboxyl terminus of BRCA1 in transcriptional stimulation, but the mechanism of action remains unknown, and whether the full-length protein stimulates transcription is controversial. BRCA1 interacts with a number of enhancer-binding transcriptional activators, suggesting that these factors recruit BRCA1 to promoters, where it stimulates RNA synthesis. To investigate whether BRCA1 has intrinsic transcriptional activity, we established a fully purified transcription assay. We demonstrate here that BRCA1 stimulates transcription initiation across a range of promoters. Both the amino and carboxyl termini of BRCA1 are required for this activity, but the BRCA1-binding partner, BARD1, is not. Our data support a model whereby BRCA1 stabilizes productive preinitiation complexes and thus stimulates transcription.

Of the many functions attributed to BRCA1,² one of the first identified was transcriptional stimulation (1, 2). BRCA1 copurifies with the RNA polymerase II (RNAPII) holoenzyme (3, 4), and reporter assays and microarray studies show that it regulates the expression of a range of p53-dependent and -independent targets (5, 6). Thus, one way in which BRCA1 may serve as a tumor suppressor is through up-regulation of growth-suppressive targets (7, 8). While the mechanism of stimulation is unknown, the transcriptional activity of BRCA1 most likely depends in part on its reported interactions with a wide range of transcriptional activators. However, in a defined system assayed *in vitro*, a Gal4 fusion to the carboxyl terminus of BRCA1 activates transcription, independent of other activators (9), suggesting an intrinsic transcriptional activity for BRCA1. A subsequent study found that Gal4 fusions to full-length BRCA1 could not activate transcription in transfected cells and that the degree of transcriptional activation conferred by Gal4 fusions to the carboxyl terminus of bovine BRCA1 was much lower than human BRCA1 (10). Since the human carboxyl terminus is more acidic than the bovine version, the transcriptional activity may simply be a function of its acidity. Regardless, *in vivo* reporter assays using BRCA1 without a Gal4 fusion indicate that transcriptional stimulation by BRCA1 is dependent on its carboxyl terminus (6, 11). To better understand whether BRCA1 might directly regulate transcription, we developed an assay to test the function of full-length human BRCA1 in transcription, independent of an artificial DNA-binding domain protein fusion. We demonstrate here that BRCA1 stimulates basal transcription by promoting initiation of RNA synthesis. This is the first demonstration of direct transcriptional activity by full-length BRCA1.

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² The abbreviations used are: BRCA1, breast cancer gene 1; BARD1, BRCA1-associated RING domain protein 1; RNAPII, RNA polymerase II; TBP, TATA-binding protein; TFI, transcription factor II.

MATERIALS AND METHODS

Transcription Factors—The transcription factors used in these assays were purified using established techniques (9, 12, 13). BRCA1/BARD1, BRCA1, and the truncation mutants were purified from baculovirus infected insect cells as described previously (14, 15). p53 was also purified from baculovirus infected insect cells (16).

Plasmid Templates—G-less cassette templates were based upon the p(C2AT)₁₉ vector (17) and have been described previously (18).

Transcription Assay—Transcription assays were based on reactions described by Parvin and Sharp (19). Reactions contained 20 mM Hepes-NaOH, pH 7.9, 20% glycerol, 1 mM EDTA, 60 mM KCl, 0.1 mM each ATP and UTP, 0.05 mM 3'-O-methyl-GTP, 0.003 mM CTP, 1 mM dithiothreitol, 0.15 mg/ml bovine serum albumin, 2 mM MgCl₂, 0.003 mM ZnSO₄, 1.2 μg/ml plasmid template (1 nM), 10 μCi of [α -³²P]CTP (800 Ci/mmol; PerkinElmer Life Sciences) and transcription factors. Unless otherwise noted, the amount of each factor used per 25-μl reaction was: 8 ng of yeast TBP (16 nM) or 1 μl of immunoaffinity-purified TFIID (containing ~4 ng of TBP), 60 ng of TFIIB (60 nM), 100 ng of TFIIA (60 nM), 100 ng of calf thymus RNA polymerase II, 100 ng of TFIIF (40 nM), 4 ng of TFIIE (1.8 nM), and 0.5 μl of TFIIF fraction. Transcriptional activation reactions with p53 contained 100 ng of PC4 (270 nM). Reactions were assembled on ice and then incubated at 30 °C for 120 min. Reactions were terminated by addition of 200 μl of transcription stop mix (7 M urea, 0.5% SDS, 2 mM EDTA, 0.1 M LiCl, 0.35 M NH₄OAc), phenol/chloroform-extracted, ethanol-precipitated, and resolved on 6% polyacrylamide gels containing 8.3 M urea. Gels were dried and exposed to film with an intensifying screen. PhosphorImager analysis was performed using an Amersham Biosciences PhosphorImager and ImageQuant software.

RESULTS AND DISCUSSION

Based on the prior evidence that BRCA1 is a coactivator of p53 transcriptional targets (5, 6), we first attempted to reconstitute coactivation by purified full-length BRCA1/BARD1 and p53 *in vitro*. We reasoned that in the absence of a Gal4 fusion, sequence specific p53 binding might serve to localize BRCA1/BARD1 to the promoter region. Transcription reactions were performed with purified TFIID, TFIIB, TFIIA, RNAPII, TFIIE, TFIIF, TFIIF, and PC4. To detect transcriptional activation, a modified adenoviral E4 promoter with upstream p53 response elements (p53 G5E4) linked to a 384-base pair G-less cassette was used (16). As an internal control template for basal transcription, the adenoviral major late promoter (Δ ML) linked to a 210-base pair G-less cassette was used. Transcription from both templates was low in the absence of BRCA1/BARD1 and p53 (Fig. 1A, lane 1). To our surprise, addition of BRCA1/BARD1 alone stimulated transcription from both templates (lane 2). Addition of p53 specifically activated transcription of the p53 G5E4 template (lane 3). Addition of both p53 and BRCA1/BARD1 resulted in the highest ratio of activated/basal transcription, demonstrating that a modest amount of coactivation can occur with these purified factors (lane 4). We were intrigued that BRCA1/BARD1 could stimulate transcription in the absence of p53 or a Gal4 fusion. In the following experiments we characterized the mechanism by which BRCA1 directly stimulates basal transcription.

In addition to leaving out p53, we found that by omitting PC4, a factor required for activated transcription (20), the level of RNA synthesis was significantly higher and the stimulatory effect on transcription by BRCA1 was apparent (Fig. 1B). We tested several promoters for effects by BRCA1/BARD1 on RNA synthesis. All of these templates were identical with the exception of the 50 base pairs of sequence in the core promoter immediately upstream of the G-less cassette sequence. The magnitude of the stimulation of RNA synthesis by BRCA1/BARD1 differed among templates, indicating that the effect of BRCA1/BARD1 varied dependent on core promoter sequences (Fig. 1B). Stimulation was highest (~10-fold) for the p53 G5E4 promoter template (lanes 5 and 6), and we chose that template for subsequent experiments. The fact that BRCA1/BARD1 stimulated transcription from the IgG template, which does not require TFIIE/TFIIF, indicated that these factors were not required for transcriptional stimulation. Indeed, removal of TFIIE and TFIIF from the reaction and substitution of TBP for TFIID did not affect the stimulation of RNA synthesis by BRCA1/BARD1 (Fig. 1C).

One trivial explanation for these results would be if the BRCA1/BARD1 preparation used in our assay contained a contaminating general transcription

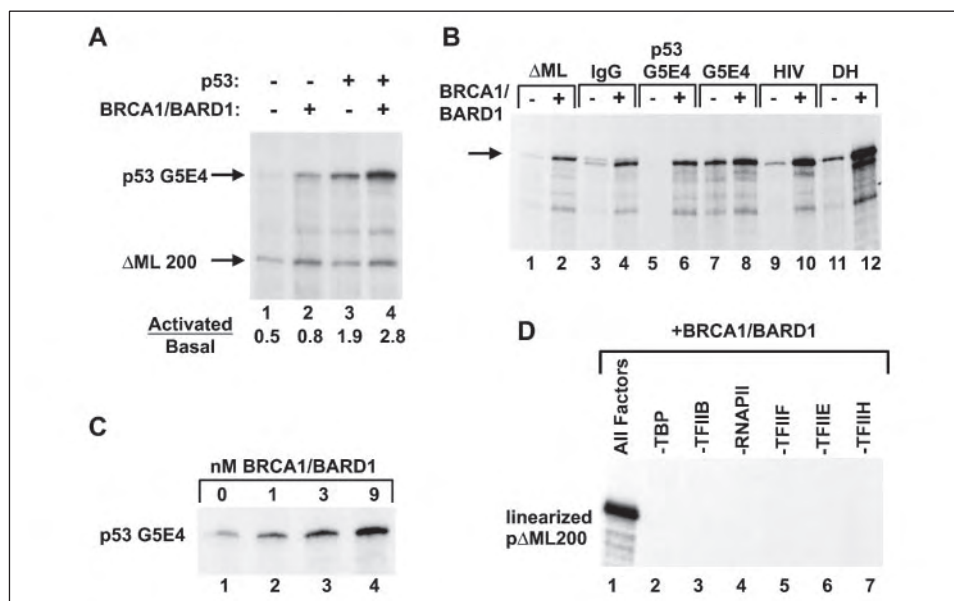


FIGURE 1. BRCA1/BARD1 stimulates basal transcription. A, BRCA1/BARD1 (10 nM) or p53 (40 nM) were added to *in vitro* transcription reactions containing purified factors (TFIID, TFIIA, TFIIB, RNAPII, TFIIF, TFIIE, and TFIIH) and the coactivator PC4. Transcription from the p53 G5E4 plasmid, which contains a p53 response element, yields a 390-nucleotide RNA. Transcription from the basal control template, ΔML200, which lacks p53 response elements, yields a 210-nucleotide RNA. Basal transcription in the absence of activators (lane 1) or stimulation of transcription by p53 (lanes 3 and 4) and BRCA1/BARD1 (lanes 2 and 4) were assayed. The ratio of stimulated/basal transcription was determined by PhosphorImager analysis of the accumulated RNA from the p53 G5E4 template relative to the ΔML200 template. B, stimulation of transcription by BRCA1/BARD1 was tested in reactions containing TFIID, TFIIA, TFIIB, RNAPII, TFIIF, TFIIE, and TFIIH using a variety of single ~400-base pair G-less cassette templates. Full-length transcript is noted by an arrow. The following promoters linked to G-less cassette templates were used: ΔML, the core adenoviral major late promoter (lanes 1 and 2); IgG, the immunoglobulin heavy chain promoter (lanes 3 and 4); p53 G5E4, the adenoviral E4 promoter with a 20-bp p53 response element upstream of the TATA box (lanes 5 and 6); G5E4, the adenoviral E4 promoter without p53 response elements (lanes 7 and 8); HIV, the human immunodeficiency virus promoter (lanes 9 and 10); DH, the *Drosophila* heat shock promoter (lanes 11 and 12). C, transcriptional stimulation by BRCA1/BARD1 in a minimal system, including TBP, TFIIB, RNAPII, TFIIF and the p53 G5E4 template. BRCA1/BARD1 was omitted (lane 1) or added at 1–9 nM concentrations, as indicated (lanes 2–4). D, the BRCA1/BARD1 preparation does not complement transcription reactions lacking a single factor. Transcriptions from a linearized ΔML200 template were assembled with BRCA1/BARD1 and TBP, TFIIB, RNAPII, TFIIF, TFIIE, and TFIIH (lane 1). Lanes 2–7 were assembled in the same way but with a single transcription factor omitted: TBP (lane 2), TFIIB (lane 3), RNAPII (lane 4), TFIIF (lane 5), TFIIE (lane 6), and TFIIH (lane 7).

factor that was limiting in the assay. The BRCA1/BARD1 protein was purified from insect cells and judged free of major contaminants by silver stained protein gels (15). However, to rule out this possibility, we tested whether the BRCA1/BARD1 preparation could complement transcription reactions lacking a single factor (Fig. 1D). Transcriptions were conducted using a linearized ΔML template that requires TBP, TFIIB, RNAPII, TFIIF, TFIIE, and TFIIH. BRCA1/BARD1 was present in all reactions at a 9 nM concentration. Transcription was observed only when all factors were present, and thus we exclude the possibility that the BRCA1/BARD1 preparation contained a general transcription factor.

Having established that BRCA1/BARD1 stimulated basal transcription in a minimal RNAPII transcription system, we next asked what stage of transcription BRCA1/BARD1 enhanced. We used a pulse/chase strategy to separate transcriptional initiation from elongation (Fig. 2A). In the pulse phase, only ATP and [α - 32 P]CTP were added to the reaction mixture. The lack of UTP prevented elongation from occurring beyond four nucleotides, resulting in a stalled RNAPII complex. In the chase phase, a complete, unlabeled nucleotide mixture was added with excess CTP, allowing elongation of the labeled nascent transcripts. Any new initiations that occurred during the chase phase were unlabeled and thus not detected. Regardless of whether TFIID or TBP was used for TATA binding activity, inclusion of BRCA1/BARD1 during the pulse stimulated transcription, while addition during the chase had no effect (Fig. 2B). These results indicated that BRCA1/BARD1 stimulate basal transcription by promoting initiation. However, it was also possible that BRCA1/BARD1 load during the initiation phase but then promote transcriptional elongation. To determine whether this might be true, we examined transcription from very short templates (40–50 nucleotides), reasoning that the importance of an elongation factor over such a short template would be greatly reduced. A similar level of stimulation of RNA synthesis was observed for these mini-templates (~10-fold) as was seen for the ~400-base pair templates, thus supporting the idea that BRCA1/BARD1 promote the initiation of transcription (Fig. 2C).

Both BRCA1 and BARD1 copurify with the RNAPII holoenzyme (21), and thus we used the heterodimer in experiments to this point. The major functional outcome of the BRCA1/BARD1 interaction is to potentiate the E3 ubiqu-

itin ligase activity of BRCA1 (22). We had no reason to believe this enzymatic function had a role in transcriptional stimulation because E1 and E2 enzymes and ubiquitin were omitted from the reactions. Therefore, we tested whether BARD1 was required for transcriptional stimulation by BRCA1. When comparing BRCA1/BARD1 to BRCA1 alone, we observed similar levels of stimulation of RNA synthesis, evident in each case at concentrations as low as 1 nM (Fig. 3A). We conclude that BARD1 is not required for transcriptional stimulation by BRCA1. Next we examined truncations of BRCA1 to determine what portion of the protein contains the stimulatory activity. Deletion of either the 300 amino-terminal residues or the 336 carboxyl-terminal residues of BRCA1 abolished stimulation of transcription (Fig. 3B). Both the amino and carboxyl termini of BRCA1 are known to interact with RNAPII (21), and these truncations may reduce association with RNAPII in our assay. In addition, previous reports localize transcriptional activity to the carboxyl terminus of BRCA1 (2, 9). Since truncation of either terminus did not support transcriptional stimulation, we tested an additional two internal deletions spanning most of the intervening sequence (Fig. 3C). Both BRCA1-(Δ303–770)/BARD1 and BRCA1-(Δ770–1290)/BARD1 stimulated transcription as well as or better than BRCA1/BARD1. At the highest concentration tested (9 nM), the BRCA1-(Δ770–1290)/BARD1 actually repressed transcription, possibly reflecting a transcriptional squelching effect. In summary, the amino and carboxyl termini, but not internal domains of BRCA1, are required for transcriptional stimulation (Fig. 3D).

Our data to this point suggested that BRCA1 might be promoting formation of the initiation complex through contacts mediated by its amino and carboxyl termini. To determine which transcription factors might be affected by these contacts, we attempted to titrate factors downward in concentration, reasoning that the stimulatory activity should be enhanced by limiting conditions for the relevant factors. To our surprise, downward titration of TFIIB resulted in higher levels of basal transcription and a reduction in the stimulatory effect of BRCA1 (Fig. 4A). Without TFIIB (lanes 1 and 2), we observed a negligible stimulatory effect of BRCA1, but the inhibitory activity of TFIIB on basal transcription was relieved by addition of BRCA1. TFIIB is known to act as an anti-repressor for TBP-binding inhibitors and is a required factor in activated transcription systems utilizing TFIID (23, 24), so repression was unexpected.

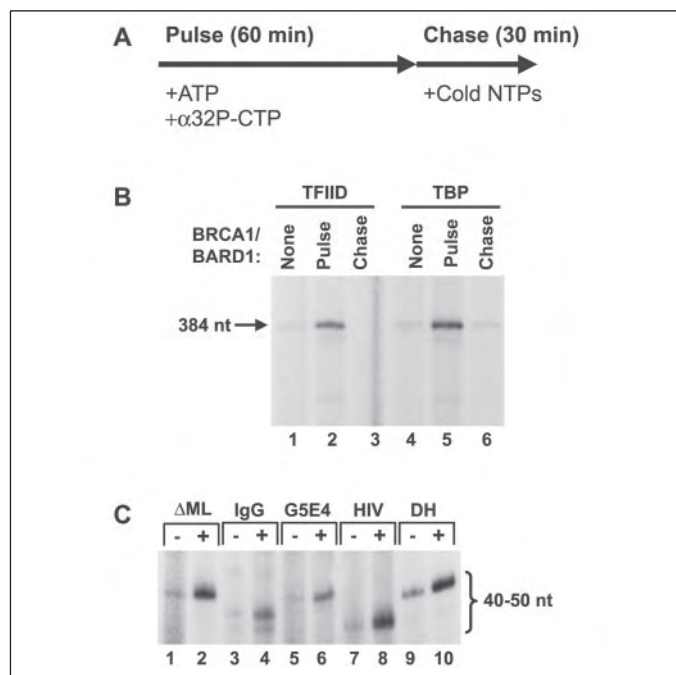


FIGURE 2. BRCA1/BARD1 stimulate transcriptional initiation. A, schematic of pulse/chase experiment used to separate transcriptional initiation from elongation. Transcription reactions were assembled without UTP and incubated for 60 min (pulse). Complete cold nucleotides, with excess CTP, were added for an additional 30-min incubation (chase). B, transcription reactions containing TFIID, TFIIF, RNAPII, TFIIF, TFIIE, TFIIF, and TFIID (lanes 1–3) or TBP (lanes 4–6) were assembled as described above. BRCA1/BARD1 (10 nM) was omitted (lanes 1 and 4), added during the pulse (lanes 2 and 5), or added during the chase (lanes 3 and 6). C, transcription reactions containing TFIID, TFIIF, RNAPII, TFIIF, TFIIE, and TFIIF and mini-templates (~50 base pairs) were performed with (even lanes) or without (odd lanes) BRCA1/BARD1 (10 nM). The promoters correspond to those used with the ~400-base pair templates described in the legend to Fig. 1B.

However, this was not the first observation of basal repressive action by TFIIF. Prior to the cloning and recombinant expression of TFIIF, researchers reported on a repressive activity that purified closely with TFIIF (25). This activity repressed basal transcription from consensus TATA box promoters but stimulated transcription from non-consensus promoters. The authors (25) suggested a model whereby TFIIF interacts with TBP, altering its conformation and association with the promoter. If this conformational change altered the preference of TBP for the TATA box, then it could interfere with formation of the preinitiation complex on the correct DNA site and repress transcription (25).

Based on these previous findings, we speculated that BRCA1 might prevent improper TBP localization, either by disrupting non-TATA bound TBP or by stabilizing complex formation on *bona fide* TATA boxes. Precedent for regulation of TBP binding exists in the ATPase Mot1, which can dissociate TBP from DNA. Initial *in vitro* work cast Mot1 as a transcriptional inhibitor (26, 27), but examination *in vivo* also demonstrated activation of several targets (28–30). Subsequent *in vitro* work using lower concentrations of Mot1 recapitulated transcriptional stimulation, especially under conditions where excess non-promoter DNA was present (31). The authors (31) concluded that Mot1 acts by promoting dissociation of TBP from non-TATA DNA sequences and thereby raising the effective TBP concentration.

The plasmid templates used in our experiments have ~3000 base pairs of sequence, of which about 50 base pairs serve as promoter. Many suboptimal TATA boxes exist in the extraneous DNA, and we infer that TFIIF stabilizes TBP on these non-promoter sites, thus reducing the effective concentration of TBP. Our results show that BRCA1 counters TFIIF repression, and our results are consistent with this rescue occurring during preinitiation or initiation. To test whether BRCA1 could stimulate basal transcription in the absence of TFIIF, but under conditions that were unfavorable for initiation, we limited the general transcription factors involved in nucleation of the preinitiation complex, TBP and TFIIF. By limiting TBP 10-fold (from 16 to 1.6 nM), a modest stimulation of transcription by BRCA1 was revealed (Fig. 4B, lanes 1 and 2, compare with Fig. 4A, lanes 1 and 2). Under conditions where both TBP and TFIIF are limiting, the effect of BRCA1 was further enhanced (Fig. 4B, lanes 3 and 4). This result demonstrates that the stimulatory activity of BRCA1

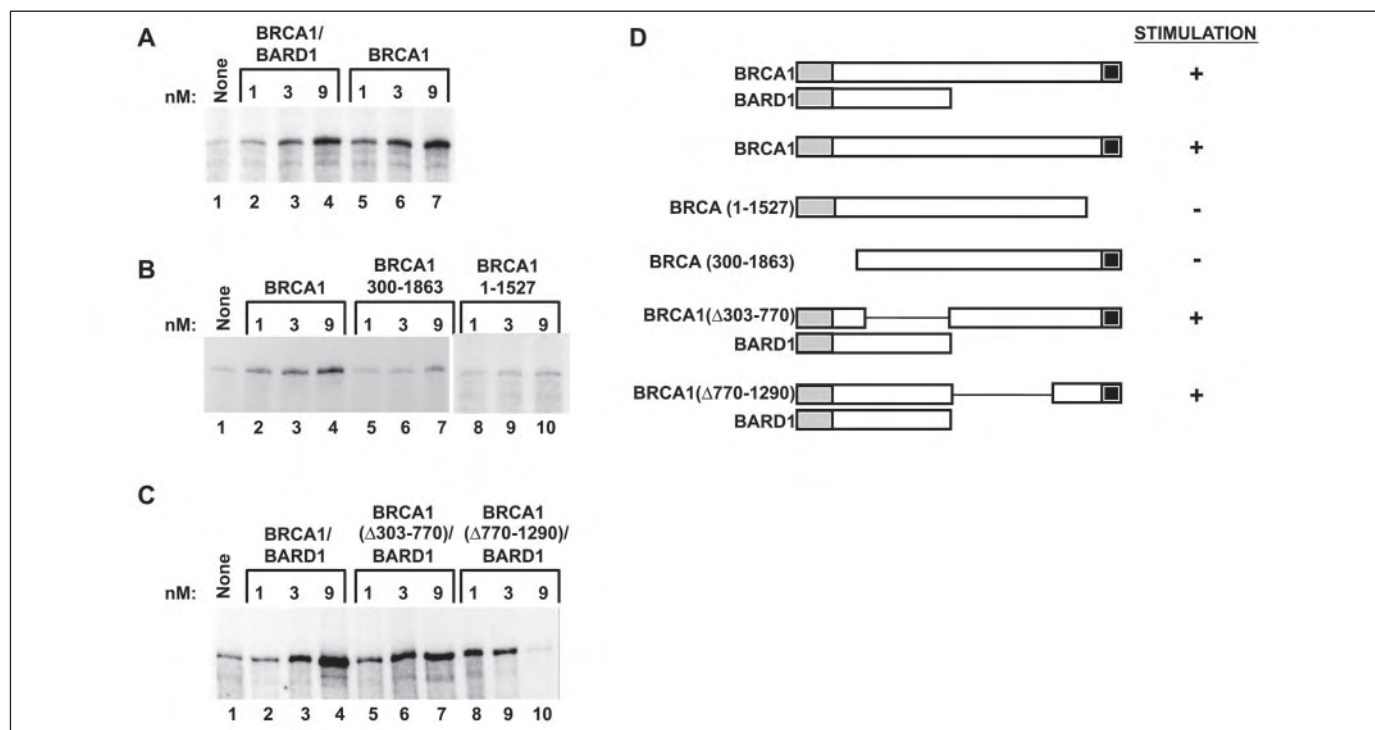


FIGURE 3. BRCA1 amino and carboxyl termini are required for transcriptional stimulation. A, transcriptional stimulation by BRCA1/BARD1 was compared with BRCA1 alone in reactions containing TFIID, TFIIF, RNAPII, TFIIF, TFIIE, TFIIF, and the p53 G5E4 template. The BRCA1 preparations were balanced by BRCA1 content and titrated into the reactions at 1 nM (lanes 2 and 5), 3 nM (lanes 3 and 6), and 9 nM (lanes 4 and 7). B, transcriptional stimulation by BRCA1 and the truncations BRCA1-(300–1863) and BRCA1-(1–1527) was tested as in A. C, transcriptional stimulation by BRCA1/BARD1 and internal deletions BRCA1-(Δ303–770)/BARD1 and BRCA1-(Δ770–1290)/BARD1 was tested as in A. D, summary of transcriptional stimulation by BRCA1 variants. Amino-terminal domain (gray) denotes RING finger domains in BRCA1 and BARD1, and the carboxyl-terminal domain (black) corresponds to BRCT repeats in BRCA1.

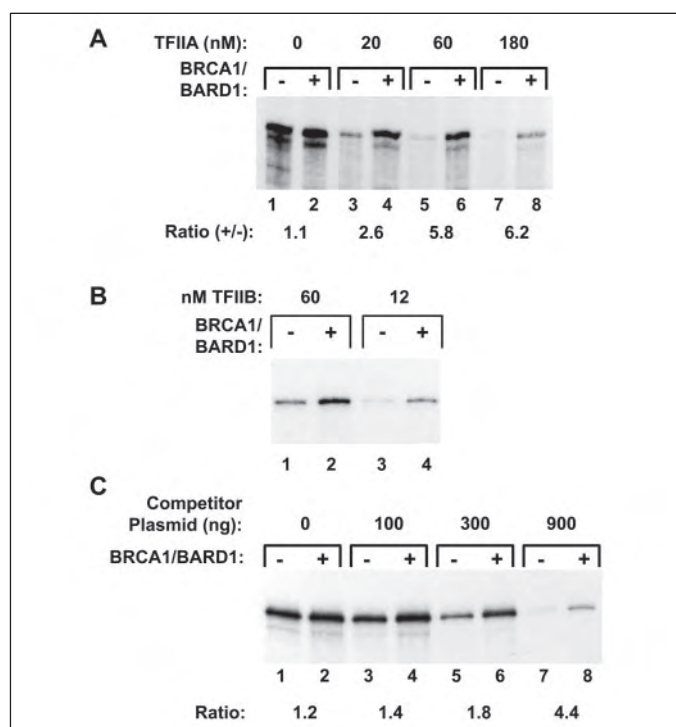


FIGURE 4. BRCA1 promotes productive preinitiation complex formation. A, TFIIA was titrated into transcription reactions containing TBP, TFIIB, RNAPII, TFIIF, TFIIE, TFIH, and p53 G5E4 template, with BRCA1/BARD1 (10 nM) included in the even-numbered lanes. TFIIA concentrations were 0 nM (lanes 1 and 2), 20 nM (lanes 3 and 4), 60 nM (lanes 5 and 6), and 180 nM (lanes 7 and 8). For each concentration of TFIIA, the ratio of RNA products in transcription reactions containing BRCA1/BARD1 to without BRCA1/BARD1 was determined by PhosphorImager analysis. B, transcription reactions were assembled without TFIIA, containing TBP (1.6 nM), RNAPII, TFIIF, TFIIE, TFIH, and the p53 G5E4 template. TFIIB was added at 60 nM (lanes 1 and 2) or 12 nM (lanes 3 and 4), with BRCA1/BARD1 added in the even-numbered lanes. C, competitor plasmid DNA lacking eukaryotic promoter sequences was titrated into transcription reactions utilizing p53 G5E4 template and containing TBP, TFIIB, RNAPII, TFIIF, TFIIE, and TFIH. BRCA1/BARD1 was added to the even-numbered lanes. Lanes contained 0 ng of competitor plasmid (lanes 1 and 2), 100 ng (lanes 3 and 4), 300 ng (lanes 5 and 6), and 900 ng (lanes 7 and 8). The fold stimulation of transcription by BRCA1/BARD1 at each amount of plasmid addition was determined using a PhosphorImager.

is not limited to reversal of TFIIA basal repression but applies more generally to situations under which preinitiation complex assembly is a limiting step.

The challenges to proper initiation in our transcription assay likely underestimate the difficulties *in vivo*, where correct promoters must be discriminated from total genomic DNA. To test whether the presence of excess plasmid DNA could inhibit transcription, we titrated a competitor plasmid lacking promoter sequences into transcription reactions that were conducted in the presence or absence of BRCA1/BARD1 (Fig. 4C). With addition of 300 ng or more of competitor DNA, transcription levels were reduced, confirming that excess DNA can inhibit transcription (compare lanes 1 and 2 with lanes 5–8). The most likely explanation for this effect was that the competitor DNA titrated initiation factors away from the bona fide TATA box. Although transcription levels were lower overall, we observed an increasing degree of transcriptional stimulation by BRCA1/BARD1 with increasing competitor plasmid. Without competitor DNA, the addition of BRCA1/BARD1 stimulated transcription only 1.2-fold (lanes 1 and 2). At the highest level of competitor plasmid tested (900 ng), RNA synthesis was stimulated by BRCA1/BARD1 over 4-fold (lanes 7 and 8). Therefore, the presence of excess competitor DNA inhibits transcription but increases the potential for stimulation by BRCA1.

We find that limiting the initiation factors TFIIB and TBP, either directly or by addition of excess competitor DNA, increases the stimulatory effect of

BRCA1. This outcome could be explained by BRCA1 stabilization of productive initiation complexes or conversely by destabilization of non-productive complexes. Based on the known interaction between BRCA1 and RNAPII, the former possibility is, in our opinion, more likely. Taken together, our data support a model where BRCA1 stabilizes productive transcription initiation complexes, and this may be one mechanism by which it coactivates the transcription of gene targets. Stimulation by BRCA1 was observed in our assays with purified components and a range of promoters at concentrations as low as 1 nM BRCA1. However, in the cell, where BRCA1 concentration is likely even lower, it could be recruited to specific promoters by enhancer-binding factors. Once bound to a specific promoter, BRCA1 could stimulate assembly of the preinitiation complex through its interactions with RNAPII and perhaps other general transcription factors.

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BRCA1/BARD1 Ubiquitinate Phosphorylated RNA Polymerase II*

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The breast- and ovarian-specific tumor suppressor BRCA1, when associated with BARD1, is an ubiquitin ligase. We have shown here that this heterodimer ubiquitinates a hyperphosphorylated form of Rpb1, the largest subunit of RNA polymerase II. Two major phosphorylation sites have been identified in the Rpb1 carboxyl terminal domain, serine 2 (Ser-2) or serine 5 (Ser-5) of the YSPTSPS heptapeptide repeat. Only the Ser-5 hyperphosphorylated form is ubiquitinated by BRCA1/BARD1. Overexpression of BRCA1 in cells stimulated the DNA damage-induced ubiquitination of Rpb1. Similar to the *in vitro* reaction, the stimulation of Rpb1 ubiquitination by BRCA1 in cells occurred only on those molecules hyperphosphorylated on Ser-5 of the heptapeptide repeat. *In vitro*, the carboxyl terminus of BRCA1 (amino acids 501–1863) was dispensable for the ubiquitination of hyperphosphorylated Rpb1. In cells, however, efficient Rpb1 ubiquitination required the carboxyl terminus of BRCA1, suggesting that interactions mediated by this region were essential in the complex milieu of the nucleus. These results link the BRCA1-dependent ubiquitination of the polymerase with DNA damage.

BRCA1, the breast- and ovarian-specific tumor suppressor protein, has been found to regulate a number of processes central to the normal function of the cell, including transcription, chromatin dynamics, homologous recombination, and other forms of DNA damage repair (1, 2). Because BRCA1 has been found associated with a wide range of proteins involved in these processes, it may function as a scaffold, organizing effector proteins in a context-dependent manner. However, when BRCA1 is associated with the BARD1 protein, it is also an enzyme, an E3 ubiquitin ligase (3, 4). The realization that BRCA1 is an enzyme establishes the necessity of identifying its substrates in order to understand how the ubiquitination activity impacts these processes in the cell.

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BRCA1 and BARD1 are associated with the messenger RNA-synthesizing polymerase in a complex known as the RNA polymerase II holoenzyme (holo-pol)¹ (5–7). One function for BRCA1 in this holo-pol complex appears to be as a coactivator of transcription, because it has been shown that BRCA1 stimulates the activation signal of p53, NF- κ B, and others (8–13). Previously, we modeled that the BRCA1 and BARD1 in the holo-pol complex may ubiquitinate the transcribing RNA polymerase II (RNAPII) when it encounters DNA damage, and we also suggested that this ubiquitination event would stimulate the repair process (14, 15).

Rpb1 is the largest subunit of RNAPII, and its carboxyl-terminal domain (CTD) is highly conserved, consisting of multiple repeats (27 in budding yeast, 52 in humans) of the heptapeptide YSPTSPS. Serines 2 (Ser-2) and 5 (Ser-5) of multiple repeats are phosphorylated co-transcriptionally, Ser5*_p predominating at the promoter and Ser2*_p in the coding sequence (16, 17). In response to DNA damage Rpb1 is also ubiquitinated, an event associated with changes in concentration of both the hypophosphorylated and the hyperphosphorylated Rpb1 (18). In budding yeast, the Rsp5 E3 ligase ubiquitinates Rpb1 independent of its phosphorylation state (19, 20). In higher eukaryotes the ubiquitin ligase(s) that mediate this modification of RNAPII are unknown, and it is possible that multiple factors mediate the reaction. Because BRCA1 and BARD1 are associated with RNAPII in the holo-pol complex (6), BRCA1 is a reasonable candidate for the RNAPII ubiquitin ligase. In addition, after DNA damage BRCA1 and BARD1 also associate with the polyadenylation cleavage factor CstF (21), known to interact with RNAPII via Rpb1 hyperphosphorylated on Ser-2 (Ser2*_p) of the YSPTSPS heptapeptide repeats (22, 23). These results led us to speculate that a substrate for BRCA1-dependent ubiquitination could be the Ser2*_p form of Rpb1.

In these experiments we tested whether BRCA1 in association with BARD1 could ubiquitinate RNAPII. We found that hyperphosphorylated RNAPII serves as a substrate for the BRCA1-dependent ubiquitination activity, and we found that overexpression of BRCA1 in cells stimulates the DNA damage-induced ubiquitination of hyperphosphorylated RNAPII. Strikingly, the ubiquitination reaction, when tested both *in vitro* and *in vivo*, was enhanced not by Ser2*_p of the heptapeptide

¹ The abbreviations used are: holo-pol, RNA polymerase II holoenzyme; BRCA1, breast cancer gene 1; BARD1, BRCA1-associated RING domain protein 1; CTD, Rpb1 carboxyl-terminal domain; GST, glutathione S-transferase; RNAPII, RNA polymerase II; Rpb1, RNA polymerase II subunit 1; Ser2*_p, phosphorylated serine 2 of YSPTSPS; Ser5*_p, phosphorylated serine 5 of YSPTSPS; HEK, human embryonic kidney; E1, ubiquitin-activating enzyme; E2, ubiquitin carrier protein; E3, ubiquitin-protein isopeptide ligase; HA, hemagglutinin.

repeat but rather by Ser5^{*}p. These results thus identify a substrate for ubiquitination by BRCA1/BARD1 that is correlated with the cellular response to DNA damage.

MATERIALS AND METHODS

Protein Purification—The expression and purification of BRCA1 and BARD1 from baculovirus-infected insect cells has been described, along with a description of the purification of the ubiquitination factors E1 and UbcH5c E2 (24). The core RNAPII was purified from calf thymus using an established protocol (25). The budding yeast Rpb1 CTD was expressed as a hexahistidine and GST fusion (26) and purified by nickel-nitrilotriacetic acid chromatography using standard techniques. Ubiquitin was obtained from a commercial vendor (Sigma).

The yeast Kin28, Ctk1, and Srb10 kinases were each expressed in *Saccharomyces cerevisiae* as HA-tagged fusion proteins. Active kinases were purified by immunoprecipitation using the 12CA5 monoclonal antibody specific for the HA tag (27, 28).

Human TFIIH was purified from HEK-293 cells as described (29). In brief, $\sim 10^{12}$ cells were collected over a period of several months, and a whole cell extract was prepared for each. The whole cell extracts were bound to a Biorex70 matrix at 0.15 M KOAc in buffer A (20 mM Hepes, pH 7.9, 1 mM EDTA, 5% glycerol, 3 mM dithiothreitol), washed at 0.3 M KOAc, 0.6 M KOAc, and the peak was collected at 1.5 M KOAc. At each column step, TFIIH-containing fractions were identified by Western blotting using antibodies specific to the 89-kDa ERCC-3 subunit of TFIIH. The 1.5 M KOAc peak fraction was dialyzed to 0.1 M KCl in buffer A, bound to a DEAE fast flow matrix, and the protein peak at 0.3 M KCl was collected and dialyzed to 0.1 M KCl. The protein was bound to a 2-ml BioScale-Q column (Bio-Rad Laboratories), and protein was eluted in a gradient from 0.1 to 1.0 M KCl. TFIIH-containing fractions were subjected to gel filtration using a Superdex-200 (HR16/60; Amersham Biosciences) column in 0.3 M KCl in buffer A. The TFIIH migrated at a volume consistent with a 700-kDa complex, and samples were dialyzed in 0.1 M KCl in buffer A.

In Vitro Ubiquitination Assay—Purified RNAPII (10 ng) or 300 ng of GST-CTD/reaction were phosphorylated using purified human TFIIH or 12CA5 resin-bound HA-Ctk1, HA-Srb10, or HA-Kin28 kinase complexes using the following reaction conditions: 10 mM HEPES (pH 7.9), 0.5 mM EDTA, 5% glycerol, 60 mM KCl, 5 mM MgCl₂, 5 mM NaF, 10 μ Ci of [γ -³²P]ATP. ³²P-labeled RNAPII was then added to ubiquitination reactions that contained 100 ng of FLAG-BRCA1/BARD1 (25 nM) or truncations of BRCA1 co-purified with BARD1 (24), 100 ng of His₆-E1 ubiquitin ligase (40 nM), 1.5 μ g of His₆-UbcH5c (4 μ M), and 2 μ g of ubiquitin (12 μ M) in the following reaction conditions: 10 mM HEPES, pH 7.9, 5% glycerol, 60 mM KCl, 5 mM MgCl₂, 5 mM NaF, 2 mM ATP. All reactions were incubated at 37 °C for 30 min. The reactions were stopped by addition of sample buffer and resolved by SDS-PAGE.

Plasmid Construction—pcDNA3-HA-BRCA1(Δ 775–1292)-C61G was constructed as follows. The plasmid pcDNA3-HA-BRCA1(Δ 775–1292) has been described previously (30). A fragment containing the mutation C61G was amplified from an adenovirus shuttle vector that expresses full-length HA-BRCA1-C61G (31). PCR from this template used the primers 5'-ACCCCAAGCTTACCATTGGCC-3' that contains the HindIII site and 5'-TCTGTTATGTTGGCTCCTTG-3' that is located in 3'-side of the EcoRI site of BRCA1. The PCR product was subcloned into the HindIII and EcoRI sites of pcDNA3-HA-BRCA1(Δ 775–1292).

pcDNA3-HA-BRCA1(Δ 775–1292) was constructed as follows. A fragment was PCR amplified from the template pcDNA3-HA-BRCA1 using the mutagenic primer 5'-GCCCTTACCAACAGGCCACAGATC-3' and a downstream, vector-encoded primer 5'-TGACACTATAGAAT-AGGGCC-3'. The PCR product was used as a megaprimer with 5'-GGAAACAAAATGTTCTGCTAGCTTG-3' to amplify a fragment encoding BRCA1 amino acids 1293–1863 containing the M1775R substitution. The second PCR product was subcloned into the NheI and EcoRV sites of pcDNA3-HA-BRCA1(Δ 775–1292), thus replacing the wild-type sequence.

pcDNA3-HA-BRCA1(Δ 775–1292, Δ 1527–1863) was constructed as follows. The fragment containing HA-BRCA1 sequences up to residue 1526 was generated by digestion of pcDNA3-HA-BRCA1(Δ 775–1292) with HindIII and SacI and then inserted into the HindIII and EcoRV sites of the vector backbone for pcDNA3-HA-BRCA1(Δ 775–1292).

pCMV-Myc-ubiquitin was constructed as follows. Ubiquitin was amplified from cDNA of HeLa cells as a template using the primers 5'-GCCGAATTCGGATCGAGATCTTCGTGAAAAC-3' and 5'-CCGC-TGAGACTAACCACTCTCAGATCGCAGG-3' that contain 5'-EcoRI site and 3'-XhoI site. The PCR product was then subcloned into the pCMV-Myc vector (Clontech). All constructs were verified by DNA sequence.

In Vivo Ubiquitination Assay—HEK-293T cells were grown in Dulbecco's modified Eagle's medium supplemented with 10% fetal bovine serum, 100 μ g/ml penicillin and streptomycin and transfected with expression vector to express HA-BRCA1, HA-BRCA1(Δ 775–1292), HA-BRCA1(Δ 775–1292)-C61G, HA-BRCA1(Δ 775–1292)-M1775R, and HA-BRCA1(Δ 775–1292, Δ 1527–1863). Two days post-transfection, cells were exposed to 20 J/m² of ultraviolet light and incubated with 50 μ M MG132 (Sigma) in Me₂SO or Me₂SO alone for 2 h. Cell lysates were prepared in 1 ml of wash buffer (10 mM Hepes, pH 7.6, 250 mM NaCl, 0.1% Nonidet P-40, 5 mM EDTA, 1 mM phenylmethylsulfonyl fluoride). For immunoprecipitation, 2.5 μ l of anti-HA monoclonal antibody (HA.11; Covance), 3 μ l of anti-Myc monoclonal antibody (9E10; Covance), or 7 μ l of monoclonal antibody H14 and 20 μ l of protein G-Sepharose beads (Amersham Biosciences) were added to each lysate. Mixtures were incubated at 4 °C overnight with rotation, the supernatant was removed, and protein beads were washed three times using 0.4 μ l of wash buffer. For Western blot analysis, samples were subjected to electrophoresis in 5 or 5.5% SDS-polyacrylamide gels and immunoblotted using the monoclonal antibodies H14 or H5 (Covance), which recognize the Rpb1 CTD phosphorylated on Ser-5 or Ser-2, respectively, the anti-HA antibody HA.11, or the anti-Myc antibody 9E10.

RESULTS

BRCA1/BARD1 Ubiquitinate Hyperphosphorylated RNAPII in Vitro—BRCA1, in association with its heterodimeric partner BARD1, comprise an E3 ubiquitin ligase (3). Because BRCA1 and BARD1 associate with RNAPII (5, 7, 32), we hypothesized that RNAPII may be ubiquitinated by BRCA1/BARD1 in response to DNA damage, facilitating the repair of this damage in actively transcribed genes (14, 15).

To test this hypothesis, we utilized purified RNAPII core enzyme that had been phosphorylated *in vitro* by TFIIH as a substrate in ubiquitination reactions. Purified RNAPII exists in two forms, the IIA form, in which the Rpb1 CTD has a low level of phosphorylation, and the IIO form, in which this domain is hyperphosphorylated and has significantly shifted migration on SDS-PAGE. Phosphorylation of this RNAPII preparation by TFIIH results in the labeling of both of these forms of Rpb1 (Fig. 1A, lanes 1 and 2). This labeled RNAPII was tested in ubiquitination reactions that contained purified E1, E2 UbcH5c, E3 BRCA1/BARD1, and ubiquitin. In the complete reaction, the RNAPIIO band disappeared and a slower migrating diffuse band was observed. Under these conditions, the hypophosphorylated RNAPIIA was not modified (Fig. 1A, lane 3). These results suggest that the hyperphosphorylated RNAPII is a substrate for the BRCA1/BARD1 ubiquitin ligase.

The appearance of the slowly migrating RNAPIIO band was dependent upon the inclusion of each ubiquitination factor. Single omission of the substrate, E1, E2, E3, or ubiquitin failed to produce the slowly migrating RNAPIIO band (Fig. 1B). The appearance of the slowly migrating RNAPIIO band was thus consistent with modification by ubiquitination because only when all ubiquitination factors were included in reactions did this species appear (lane 1).

We tested whether the full 12-subunit RNAPII complex was required for ubiquitination by BRCA1/BARD1 or whether the phosphorylated CTD would suffice. The experiment of Fig. 1B was repeated using only the Rpb1 CTD fused to GST. This substrate was phosphorylated by purified TFIIH and [γ -³²P]ATP. When labeled GST-CTD was incubated with the complete reaction containing E1, E2 UbcH5c, ubiquitin and BRCA1/BARD1, the GST-CTD protein had markedly slowed migration. In this portion of the gel (>85 kDa), the resolution was imperfect, and we interpret the diffuse band with slowed migration to be consistent with the multiple additions of 8-kDa ubiquitin moieties (Fig. 1C, lane 1). The CTD of this substrate protein had no lysines to be modified by ubiquitination. We suggest that the CTD recruits the BRCA1/BARD1 E3 ligase for the ubiquitination of a separate domain of the polypeptide. These results indicate that both the 12-subunit RNAPII com-

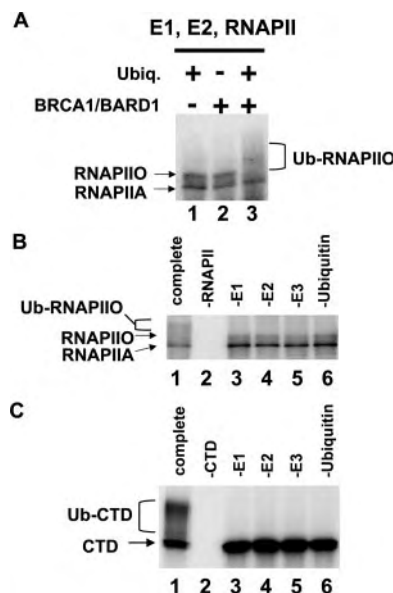


FIG. 1. BRCA1/BARD1 ubiquitinate the Rpb1 subunit of RNAPII. **A**, purified RNAPII was phosphorylated on the Rpb1 subunit with TFIIF and [γ - 32 P]ATP and tested for subsequent ubiquitination using purified E1, E2 UbcH5c, E3 BRCA1/BARD1, and ubiquitin (lane 3). BRCA1/BARD1 and ubiquitin were included in reactions as indicated. Radiolabeled products were resolved by SDS-PAGE and identified by autoradiography. The hyperphosphorylated RNAPIIO and hypophosphorylated RNAPIIA bands are indicated. **B**, ubiquitination of RNAPII by BRCA1/BARD1 requires all of the ubiquitination factors. The complete reaction, as in panel A, was analyzed in lane 1. In lanes 2–6 four components were included in reactions, and a different single component was omitted in each reaction. Reactions lacked RNAPII (lane 2), E1 (lane 3), E2 UbcH5c (lane 4), E3 BRCA1/BARD1 (lane 5), and ubiquitin (lane 6). **C**, reactions as in panel B were repeated except that TFIIF-phosphorylated GST-CTD was used in place of RNAPII.

plex and the GST-CTD were substrates for the BRCA1/BARD1 E3 ubiquitin ligase.

The CTD used in these experiments was from the budding yeast *S. cerevisiae*, and contained 26 copies of the YSPTSPS heptapeptide. The CTD is co-transcriptionally phosphorylated *in vivo* on both Ser-2 and Ser-5. RNAPII containing unphosphorylated Rpb1 is preferentially recruited to preinitiation complexes but is phosphorylated during the transition from initiation to elongation. A Ser5**p* form of the Rpb1 CTD predominates at the promoter, with Ser2**p* CTD more prevalent in the coding sequence. TFIIF kinase activity is directed primarily at Ser-5 (23), with human Cdk7 and its homolog Kin28 in *S. cerevisiae* acting as the kinase in each case. The *S. cerevisiae* kinases Ctk1 and Srb10 have highest phosphorylation activity directed at Ser-2 (28). When the CTD is expressed and purified from bacteria, it is unphosphorylated, whereas RNAPII purified from eukaryotic cells is phosphorylated to different degrees on both serine positions. To test which phosphorylation event is required for ubiquitination, it was necessary to use the CTD purified from bacteria. Incubation of the CTD with each specific kinase results in differently phosphorylated products: predominantly Ser5**p* when Kin28 is the kinase or Ser2**p* when Ctk1 or Srb10 is used (28). We tested whether the ubiquitination activity of BRCA1/BARD1 was directed specifically at the Rpb1 CTD containing either Ser5**p* or Ser2**p*. In Fig. 2A, the GST-CTD was labeled by phosphorylation with Kin28, Ctk1, or Srb10 prior to incubation in the ubiquitination reaction. Ser5**p* GST-CTD was multiply ubiquitinated in the presence of BRCA1/BARD1 (Fig. 2A, lane 2), but Ser2**p* GST-CTD ubiquitination could not be detected (lanes 4 and 6). This result suggested that the ubiquitination of the CTD by BRCA1/BARD1 was specific for substrates containing Ser5**p*.

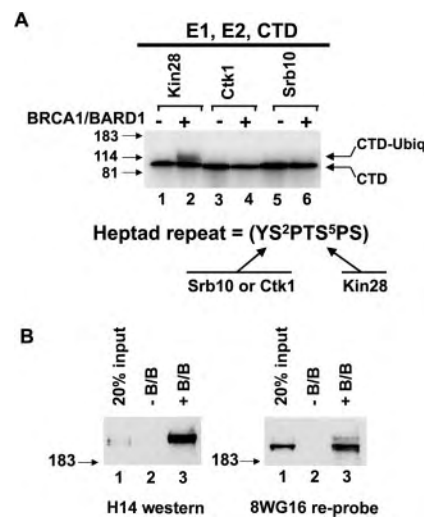


FIG. 2. Ubiquitination of the Rpb1 CTD by BRCA1/BARD1 is stimulated by phosphorylation of the Ser-5 residue of the heptapeptide repeat. **A**, purified GST-CTD was radiolabeled by phosphorylation with the indicated kinases. The labeling reactions create the Ser-2- or Ser-5-specific phosphopeptides, and reactions were balanced for the amount of GST-CTD and for the level of phosphorylation. After labeling, the ubiquitination reactions included E1, E2 UbcH5c, and ubiquitin. BRCA1 and BARD1 were added in reactions used in even lanes. **B**, purified RNAPII was subjected to affinity purification on anti-FLAG antibody containing M2-agarose beads (lane 2) or the same beads bound to full-length FLAG-tagged BRCA1/BARD1 protein (B/B; lane 3). Following binding, the matrix was washed thoroughly in buffer containing 0.3 M NaCl. Samples were analyzed by SDS-PAGE and immunoblotted with Ser5**p*-specific H14 antibody (left panel) followed by reprobing with the RNAPII-specific 8WG16 antibody (right panel).

The specificity of the BRCA1/BARD1 E3 ligase in this reaction was striking. If the heterodimer was simply binding to and ubiquitinating a long polypeptide with multiple negative charges, as in the hyperphosphorylated CTD, then we would expect little or no preference for either the Ser2**p* or Ser5**p* forms. Instead, the ubiquitination by BRCA1/BARD1 was specific for the Ser5**p* CTD. In binding experiments using the purified BRCA1/BARD1 and purified RNAPII, we found that the BRCA1 bound to RNAPII independent of phosphorylation (Fig. 2B, right panel). This result was not surprising because it is known that BRCA1 binds to Rpb2 and Rpb12 of RNAPII (32). However, when comparing the effectiveness of the purification of RNAPII on a BRCA1/BARD1 affinity matrix, the recovery of the Ser5**p*-RNAPII was more complete than was observed for the hypophosphorylated form (Fig. 2B, left panel). Thus, binding alone did not specify the ubiquitination substrate, but Ser5-specific phosphorylation enhanced both the level of binding and of ubiquitination by BRCA1/BARD1. Note that the Ser5**p* form of the CTD is observed at the promoter, whereas the Ser2**p* is associated with transcription elongation (17). Thus, the Ser5**p*-specific modification of RNAPIO by BRCA1/BARD1 is not consistent with targeting the elongating polymerase for ubiquitination.

BRCA1 Truncated from the Carboxyl Terminus Ubiquitinated Phosphorylated RNAPII *In Vitro*—The carboxyl terminus of BRCA1 (amino acids 1650–1863) associates with RNAPII via interactions with Rpb2, Rpb12, and phospho-Rpb1 subunits (7, 32). To determine whether the carboxyl terminus is required to mediate ubiquitination of RNAPII *in vitro*, we purified carboxyl-terminal truncations of BRCA1 in heterodimeric complex with full-length BARD1 (24). In addition to full-length FLAG-tagged BRCA1 (1–1863), FLAG-tagged BRCA1(1–1852), BRCA1(1–1527), BRCA1(1–1000), and BRCA1 (1–500) were coexpressed with untagged BARD1 and purified. A Δ N-BRCA1 construct (301–1863) lacking the amino-

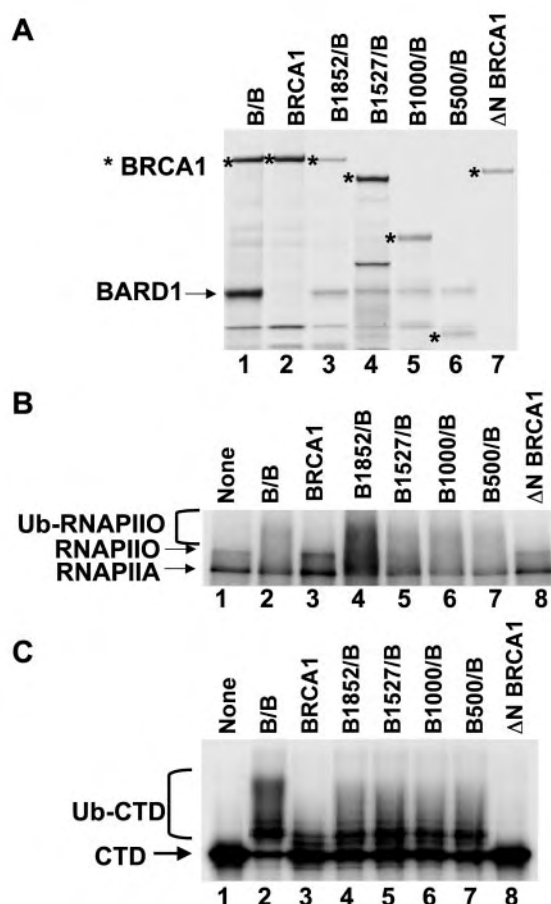


FIG. 3. BRCA1 amino acid residues 501–1863 are dispensable for ubiquitinating phosphorylated RNAPII *in vitro*. A, silver stain of a protein gel of the BRCA1/BARD1 preparations used in panels B and C. BARD1 is indicated at the side and migrated at a position consistent with a mass of 97 kDa. The BRCA1 polypeptides are marked with an asterisk. In each case that included BARD1, BRCA1 and BARD1 were co-expressed in insect cells and purified via an epitope tag on BRCA1. B, purified RNAPII, as in Fig. 1A, was tested as a substrate for ubiquitination using the following BRCA1 preparations: none (lane 1), full-length BRCA1 plus BARD1 (lane 2), full-length BRCA1 alone (lane 3), BRCA1(1–1852) plus BARD1 (lane 4), BRCA1(1–1527) plus BARD1 (lane 5), BRCA1(1–1000) plus BARD1 (lane 6), BRCA1(1–500) plus BARD1 (lane 7), and BRCA1(301–1863) (lane 8). C, reactions as in panel B were repeated replacing the RNAPII complex with GST-CTD that had been labeled using TFIIF.

terminal RING domain was also purified, as was a full-length BRCA1 lacking BARD1. These constructs were balanced for BRCA1 content (Fig. 3A) and tested for activity in ubiquitination assays as before.

In assays using RNAPII as the substrate, ubiquitination was specific for the hyperphosphorylated Rpb1. Similar specificity was observed for all constructs tested with the exception of BRCA1 alone and the ΔN construct, which had no detectable activity. Thus, BARD1 and the BRCA1 RING domain were each required for ubiquitination of RNAPII (Fig. 3B). The absence of activity seen with BRCA1 lacking BARD1 is consistent with previously published results. BARD1 is required for a high level of ubiquitination activity of BRCA1, and the isolated RING domains of each protein have been shown to have low levels of ubiquitination activity *in vitro* (3, 33, 34). However, the ubiquitination activity of BRCA1 is significantly potentiated by its interaction with BARD1 (3, 4), and structural studies of the amino terminus of BRCA1 and BARD1 reveal extensive interaction between these domains (35). The ΔN construct lacks a RING domain and was thus expected to lack ubiquitination activity.

All of the active truncations of BRCA1 specifically ubiquitinated the hyperphosphorylated form of RNAPII, whereas the hypophosphorylated form was relatively unmodified (Fig. 3B). We had previously hypothesized that the carboxyl terminus of BRCA1 mediates the specificity of its association with RNAPII because this domain of BRCA1 activates transcription (36–38) and because it binds to two RNAPII subunits (32). Efficient ubiquitination of RNAPII, however, was observed even when the ubiquitin ligase was a BRCA1 truncation that lacked the carboxyl terminus, suggesting that the function of the BRCA1 carboxyl-terminal transcription activation domain is unrelated to its ubiquitination of phosphorylated RNAPII by BRCA1.

The RNAPII ubiquitination assay yields a qualitative result, indicating that hyperphosphorylated Rpb1 is a substrate for the ubiquitination activity of BRCA1/BARD1. We repeated the experiment using TFIIF-phosphorylated CTD (Ser5^p) as a substrate, and we found that there were no differences in the degree of ubiquitination obtained with the BRCA1 carboxyl-terminal truncations (Fig. 3C). Under these more sensitive conditions, weak ubiquitination was evident when BRCA1 lacking BARD1 was included in reactions (Fig. 3C, lane 3), whereas the ΔN construct had no ubiquitination activity (Fig. 3C, lane 8). Therefore, *in vitro*, the carboxyl terminus of BRCA1 is not required for ubiquitination of hyperphosphorylated RNAPII or Ser5^p-phosphorylated CTD.

BRCA1 Ubiquitinated Phosphorylated RNAPII *in Vivo*—We next asked whether BRCA1 could ubiquitinate hyperphosphorylated RNAPII *in vivo*. We transfected HEK-293T cells with plasmids encoding HA epitope-tagged BRCA1 and Myc epitope-tagged ubiquitin. Transfected cell lysates were immunoprecipitated using antibody specific to the Myc epitope, thus purifying ubiquitinated proteins, and then immunoblots were probed using antibodies specific to RNAPII. The immunoblot was stained with the monoclonal antibody H14, which specifically binds to RNAPII phosphorylated on Ser-5 of the heptapeptide repeat in the CTD (18). The lysate (input) contained a phosphorylated RNAPII large subunit that migrated at a position consistent with 240 kDa (Fig. 4B, lane 1). Background levels of ubiquitinated phospho-RNAPII were detected in cells transfected with vector alone (lane 2). It is established that hyperphosphorylated RNAPII becomes ubiquitinated following ultraviolet (UV) irradiation of cells (18, 39–41), and we detected the UV-dependent ubiquitination of RNAPII (Fig. 4B, lane 5). Most of the ubiquitinated species migrated on protein gels with a very small shift relative to the unmodified species (compare lanes 5 and 1), and this would be expected for a low number of ubiquitin moieties (about 8 kDa each) bound to a 240-kDa polypeptide. The resolution of these species was poor by SDS-PAGE, but we consistently observed stimulated recovery of the hyperphosphorylated Rpb1 band due to ubiquitination after UV irradiation. In addition, a diffuse band of ubiquitinated species was observed shifted at slower migration that we interpret to be multiply ubiquitinated RNAPII.

Transfection of full-length BRCA1 had minimal effect on RNAPII ubiquitination status (Fig. 4B, lanes 3 and 6). We had previously observed that overexpression of full-length BRCA1 dysregulated normal BRCA1 complex formation, presumably by altering the cell cycle (30). In those experiments, expression of a BRCA1 with an internal deletion, HA-BRCA1(Δ775–1292), allowed us to overexpress BRCA1 and observe all of the protein complexes seen with the endogenous protein (30). This internal deletion, here called HA-BRCA1(ΔM), strongly stimulated the ubiquitination of Ser5^p-hyperphosphorylated RNAPII independent of DNA damage (Fig. 4B, lane 4, top panel).

UV irradiation of the cells stimulated ubiquitination of phospho-RNAPII (Fig. 4B, lanes 5 and 6), and in UV-irradiated

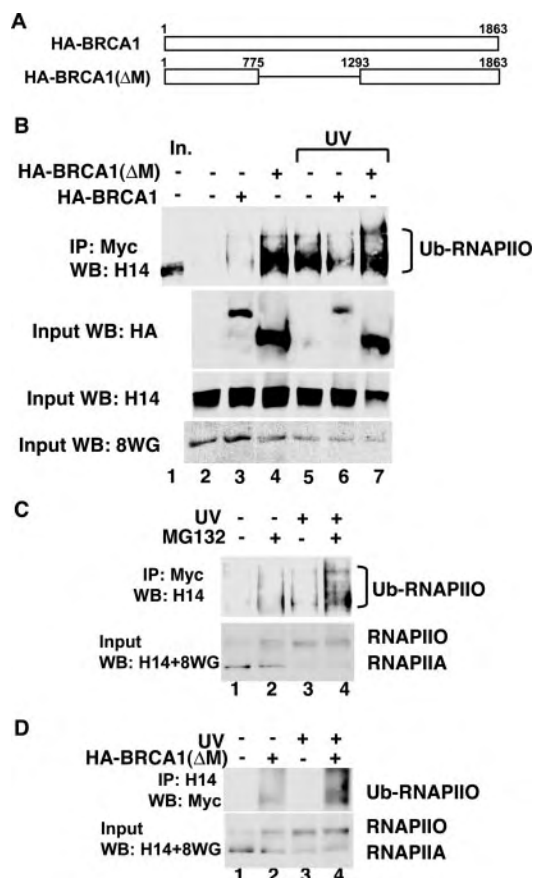


FIG. 4. BRCA1 ubiquitinates phosphorylated RNAPII *in vivo*. A, schematics are shown of the full-length BRCA1 and of the BRCA1 mutant. B, HEK-293T cells were transfected with vectors to express HA-BRCA1 full-length (lanes 3, 6), HA-BRCA1(ΔM) (lane 4, 7) and Myc ubiquitin (lanes 1–7). Cells were treated with 20 J/m² UV irradiation (lanes 5–7) and 50 μM MG132 (lanes 1–7). Lysates were immunoprecipitated by anti-Myc antibody (lanes 2–7). Immunoblots were stained with H14 monoclonal antibody to recognize the Ser5^{*}p version of RNAPII (top panel). Input samples were immunoblotted and stained with H14, anti-HA antibody, and 8WG16 (8WG), the last to detect unphosphorylated RNAPII. The input sample (lane 1) was only included in the top panel. C, HEK-293T cells were transfected with vectors to express HA-BRCA1(ΔM) (lanes 1–4) and Myc ubiquitin (lanes 1–4) and treated with 20 J/m² UV (lanes 3, 4) in the presence of 50 μM MG132 (lanes 2, 4) as described under “Materials and Methods.” Lysates were immunoprecipitated using an anti-Myc antibody, and immunoblots were stained for Ser5^{*}p-RNAPII using antibody H14 (top panel). Input samples were stained with antibodies 8WG16 and H14 to detect hypophosphorylated RNAPII and Ser5^{*}p-RNAPII in the samples (bottom panel). D, HEK-293T cells were transfected with vectors to express Myc ubiquitin (lanes 1–4) and also HA-BRCA1(ΔM) (lanes 2 and 4) and subjected to UV irradiation (lanes 3 and 4). Lysates were immunoprecipitated using the Ser5^{*}p-specific H14 antibody and immunoblots were probed with the Myc-specific antibody to detect ubiquitin (top panel). Input samples were immunoblotted using H14 and 8WG16 antibodies (bottom panel).

HA-BRCA1(ΔM)-expressing cells a significant increase in the intensity of the slowly migrating band was observed (lane 7) that we interpret to be multiply ubiquitinated RNAPII. These results indicate that overexpression of BRCA1(ΔM) stimulated ubiquitination of Ser5^{*}p-Rpb1 independent of, but qualitatively modified by, DNA damage. When we tested the H5 monoclonal antibody that specifically binds to Ser2^{*}p RNAPII or the 8WG16 monoclonal antibody that specifically recognizes hypophosphorylated RNAPII on immunoblots, ubiquitinated RNAPII was not detected (data not shown). These results were consistent with the *in vitro* experiments (Fig. 2) in which Ser-5 phosphorylation of the RNAPII CTD specifically stimulated its ubiquitination by BRCA1/BARD1. These results were also con-

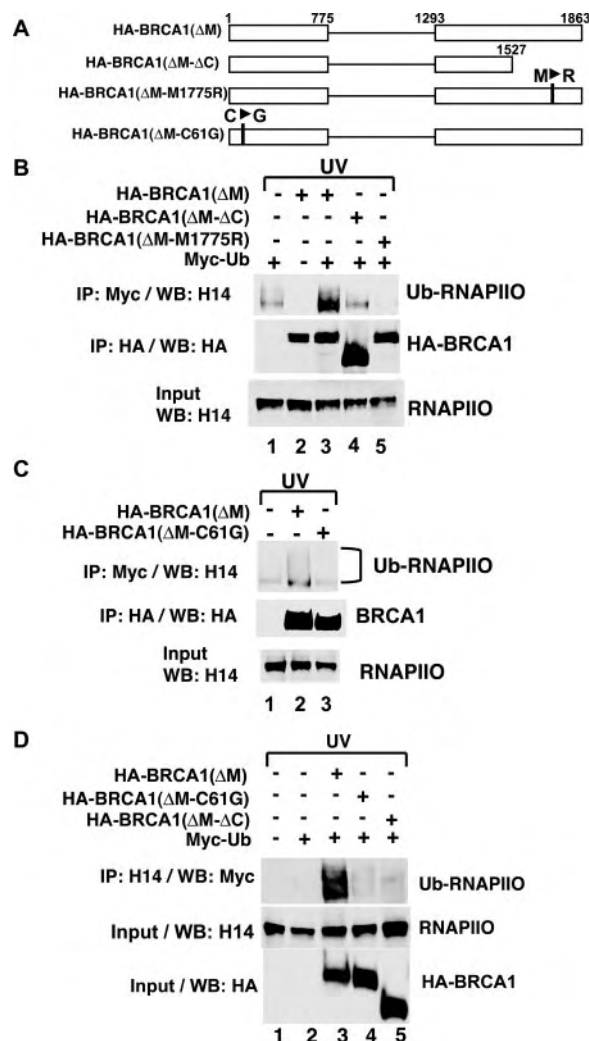


FIG. 5. Disease-associated mutations of BRCA1 and deletion of the BRCA1 carboxyl terminus abolish BRCA1-dependent ubiquitination of phospho-RNAPII *in vivo*. A, designs of BRCA1 deletion mutants and point mutations are diagrammed. B, BRCA1(ΔM-ΔC) and BRCA1(ΔM-M1775R) are ineffective in stimulating the ubiquitination of phosphorylated RNAPII. HEK-293T cells were transfected with vectors to express HA-BRCA1(ΔM) (lanes 2, 3), HA-BRCA1(ΔM-ΔC) (lane 4), HA-BRCA1(ΔM-M1775R) (lane 5), and Myc ubiquitin (lanes 1, 3–5). Cells were irradiated with 20 J/m² of UV light in the presence of 50 μM MG132, and lysates were immunoprecipitated by anti-Myc monoclonal antibody (top panel) or anti-HA monoclonal antibody as indicated. Matching input samples were analyzed in the bottom panel. Immunoblots were stained with H14 monoclonal antibody specific for the Ser5^{*}p-modified RNAPII or anti-HA monoclonal antibody as indicated. C, BRCA1(ΔM-C61G) was ineffective in stimulating the ubiquitination of RNAPII. HEK-293T cells were transfected with vectors to express HA-BRCA1(ΔM) (lane 2), HA-BRCA1(ΔM-C61G) (lane 3), and Myc ubiquitin (lanes 1–3). Cells were treated with 20 J/m² of UV irradiation in the presence of 50 μM MG132. Lysates were immunoprecipitated and immunoblotted as indicated. D, HEK-293T cells were transfected with plasmids expressing Myc ubiquitin (lanes 2–5), HA-BRCA1(ΔM) (lane 3), HA-BRCA1(ΔM-C61G) (lane 4), and HA-BRCA1(ΔM-ΔC) (lane 5). Cells were irradiated in the presence of MG132 as above, and lysates were immunoprecipitated using the H14 monoclonal antibody (top panel). Input lysates were analyzed in the bottom two panels, and immunoblots were probed as indicated.

sistent with the previously established ubiquitination of Ser-5-phosphorylated RNAPII after UV-induced DNA damage (18, 40).

The consequences of BRCA1-dependent ubiquitination are unclear. BRCA1/BARD1 have been shown to direct the linkage of ubiquitin chains via either lysine 6, lysine 48, or lysine 63 isopeptide bonds (4, 42). Appending ubiquitin chains via lysine

48 target the substrate for proteasome-mediated degradation; thus BRCA1/BARD1 ubiquitination may in some cases not lead to protein degradation. We tested whether inhibition of the proteasome, using MG132, could stabilize the ubiquitinated phospho-RNAPII. Proteasome inhibition resulted in longer chains of ubiquitin appended on the Rpb1 subunit of RNAPII (Fig. 4C, lane 4, top panel), suggesting that BRCA1-dependent ubiquitination may cause degradation of RNAPII. Interestingly, UV irradiation of cells resulted in a shift in the polymerase from RNAPII to RNAPII (Fig. 4C, bottom panel), a phenomenon that has been observed previously (18). Although quantitation using two different antibodies in immunoblots is imprecise, this result suggests that phosphorylation of Rpb1 to Ser5^p is a generalized response after DNA damage. Although proteasome inhibition stabilized the recovery of ubiquitinated RNAPII (lanes 3 and 4), the amount of RNAPII in the lysate was not markedly increased (Fig. 4C, lanes 3–4, bottom panel). We infer from this result that only a fraction of the total RNAPII is targeted for degradation following BRCA1-dependent ubiquitination.

Repeating the experiment, but using the H14 antibody to immunoprecipitate the RNAPII and the anti-Myc antibody on immunoblots to detect the ubiquitin, revealed that HA-BRCA1(Δ M) expression stimulated the appearance of ubiquitinated RNAPII (Fig. 4D, lane 2). As in panel B, expression of HA-BRCA1(Δ M) in UV-irradiated cells resulted in the recovery of higher levels of ubiquitinated RNAPII (Fig. 4D, lane 4). Compared with anti-Myc ubiquitin immunoprecipitation, use of the H14 antibody reproducibly yielded lower amounts of ubiquitinated RNAPII, even after UV irradiation. We interpret this lower level to be due to less effective immunoprecipitation reactions with the latter antibody.

We have previously shown that BRCA1 is a component of RNAPII holo-pol, and the carboxyl terminus of BRCA1 is important for this association (5, 6). In the *in vitro* assays in this study (Fig. 3), the carboxyl terminus of BRCA1 was not required for ubiquitination of the polymerase. However, in the complicated environment of a cell, the carboxyl-terminal-mutated BRCA1 might not associate with the polymerase and thus not ubiquitinate it. We examined whether the carboxyl terminus of BRCA1 affected ubiquitination of phospho-RNAPII in tissue culture cells. We found that overexpression of BRCA1 lacking its carboxyl terminus resulted in only background levels of ubiquitinated RNAPII (Fig. 5B, compare lanes 1–4). We thus conclude that in cells the carboxyl terminus of BRCA1 is important for the UV damage-induced ubiquitination of RNAPII.

We also tested whether a specific missense mutation associated with breast cancer affects the ubiquitination of RNAPII. The disease-associated missense mutation M1775R in the BRCT domain of the carboxyl terminus of BRCA1 ablates the double strand break repair and transcription activation function of BRCA1 (43). BRCA1 proteins containing the M1775R mutation do not bind to histone deacetylases (44), BACH1 (45), and the transcriptional co-repressor CtIP (46, 47). As shown in Fig. 5B, expression of BRCA1 with M1775R did not stimulate the ubiquitination of phosphorylated RNAPII (Fig. 5B, lane 5, top panel). Although the mutation of BRCA1 at residue M1775R decreases the stability of the protein (48), the expression level of the HA-BRCA1(Δ M-M1775R) was equal to that of HA-BRCA1(Δ M) (Fig. 5B, middle panel). Furthermore, the M1775R mutation disrupted BRCA1 binding to RNAPII (Fig. 6). In transfected cells, immunoprecipitation of HA-BRCA1(Δ M) also purified Ser5^p Rpb1 (Fig. 6, lane 2). Deletion of the carboxyl terminus of BRCA1 or the BRCA1 protein containing a missense mutation resulted in significantly de-

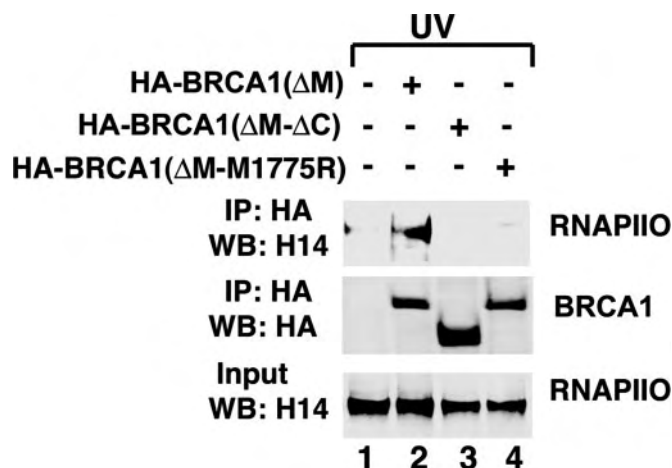


FIG. 6. **Wild-type BRCA1 binds to RNAPII.** HEK-293T cells were transfected with plasmids for the expression of HA-BRCA1(Δ M) (lane 2), HA-BRCA1(Δ M- Δ C) (lane 3), HA-BRCA1(Δ M-M1775R) (lane 4), and Myc-ubiquitin (lanes 1–4) and treated with 20 J/m² UV (lanes 1–4). Lysates were immunoprecipitated using anti-HA epitope antibody (top and middle panels) and probed for immunoblots as indicated. Input samples were analyzed in the bottom panel.

creased binding to RNAPII (Fig. 6, lanes 3 and 4). Thus, an intact carboxyl terminus was required for BRCA1 to bind to RNAPII. These data suggest that ubiquitination of phosphorylated RNAPII by BRCA1 in response to DNA damage requires an intact BRCT domain.

The active site of BRCA1 for ubiquitin ligase activity is encoded in the RING domain of the amino terminus of the protein. Missense mutation of one of the zinc-coordinating residues, C61G, results in an inactive E3 ubiquitin ligase even in the presence of wild-type BARD1 (3, 34, 35). In patients, inheritance of this missense mutation is associated with breast cancer (49, 50). Expression of HA-BRCA1(Δ M) containing the C61G missense mutation did not stimulate the ubiquitination of phosphorylated RNAPII (Fig. 5C, top panel).

The experiment in Fig. 5C was repeated, but the immunoprecipitating antibody was the Ser5^p-specific H14, and ubiquitinated species were detected using the Myc-specific antibody on immunoblots. As before, we observed that HA-BRCA1(Δ M) expression stimulated the recovery of ubiquitinated RNAPII (Fig. 5D, lane 3). Further, expression of BRCA1 variants containing the missense mutation C61G (lane 4) or a carboxyl-terminal truncation (lane 5) failed to stimulate the ubiquitination of RNAPII. As in Fig. 4D, this immunoprecipitation reaction was weaker than when the Myc antibody was used, and we only detected the ubiquitinated species when HA-BRCA1(Δ M) was expressed. Taken together, the data in Figs. 4 and 5 indicate that BRCA1 stimulates the ubiquitination of Ser5^p RNAPII after UV irradiation.

DISCUSSION

Identification of the substrates for BRCA1-dependent ubiquitination activity is important for understanding how mutation of BRCA1 is associated with loss of tumor suppression activity. The currently identified substrates include histone proteins, p53, Fanconi anemia protein D2, and centrosomal proteins including NPM1 and γ -tubulin (24, 51–54). Among these, only the modification of γ -tubulin by BRCA1/BARD1 has been shown to affect the biology of breast cells. It has been shown that failure to ubiquitinate γ -tubulin results in centrosome amplification (24). The BRCA1/BARD1 proteins are known to regulate multiple processes in the cell, including transcription, DNA repair, and centrosome dynamics (5, 55–59). Although the ubiquitination of γ -tubulin may in part ex-

plain the BRCA1-dependent regulation of centrosome dynamics, it was unclear whether the BRCA1-dependent ubiquitination activity also regulates the transcription and DNA repair function of BRCA1.

We had proposed that the BRCA1-dependent ubiquitination activity may function in DNA repair by modification of RNAPII that transcribes DNA near a lesion (14, 15). This proposed role for BRCA1 in transcription-coupled repair could be important following UV damage or double strand breaks. One prediction of this model was that BRCA1/BARD1 ubiquitination activity would be targeted to the elongating, hyperphosphorylated form of RNAPII. Actively transcribing RNAPII is phosphorylated on Ser-5 proximal to the promoter and on Ser-2 further downstream (23). Thus, the principal form of RNAPII that elongates through a gene is the Ser2^p form, which we now show is not a substrate for BRCA1/BARD1. The model that BRCA1-dependent ubiquitination directly links transcription elongation to repair is thus not supported. Instead, we found that Ser-5 phosphorylation of RNAPII is a generalized response to UV irradiation, and BRCA1-dependent ubiquitination modifies the RNAPII. It has been observed that transcriptionally engaged RNAPII does become phosphorylated on Ser-5 by the action of extracellular signal-regulated kinases 1 and 2 (60). The data are most consistent with a model whereby DNA damage causes phosphorylation of a subpopulation of RNAPII, followed by ubiquitination by BRCA1/BARD1 and subsequent degradation at the proteasome.

In these experiments we found that overexpression of BRCA1 in cells could stimulate the damage-induced ubiquitination of RNAPII. When we inhibited BRCA1 expression by transfection of short interfering RNA specific for BRCA1, we did not observe a decrease in ubiquitination of RNAPII.² We interpret these results to indicate that one or more other ubiquitin ligases can execute this function. Several other factors have been implicated in the ubiquitination of RNAPII, including Cockayne syndrome proteins CSA and CSB (60, 61). Even though other factors can also ubiquitinate RNAPII, our results overexpressing BRCA1 clearly indicate that it participates in this process.

In summary, we found in this study that BRCA1/BARD1 ubiquitinate RNAPII hyperphosphorylated via Ser-5 of the heptapeptide repeat. Rpb1 was multiply ubiquitinated. In experiments using highly purified factors *in vitro*, only the amino terminus of BRCA1, containing the catalytic RING domain, was required for ubiquitination of phospho-RNAPII. The BARD1 protein was not essential, but it was highly stimulatory. In cells, overexpression of BRCA1 could stimulate the ubiquitination of hyperphosphorylated RNAPII. In contrast to the *in vitro* reactions using purified factors, in the cell the carboxyl-terminal domain was important for the DNA damage-stimulated ubiquitination of phosphorylated RNAPII by BRCA1. These results are consistent with our observations that both the amino- and carboxyl-terminal domains of BRCA1 are required for BRCA1 association with the polymerase complex.

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